

**PETERBOROUGH CITY COUNCIL**  
**AUDIT REVIEWS UNDERTAKEN DURING PREVIOUS 3 YEARS**

2022/23 (includes reviews in progress)	2021/22	2020/21
<b>Annual Governance and Assurance</b>		
Annual Governance Statement Review Annual Investigations Report Annual Audit Opinion (2021/22) Annual Audit Plan and Strategy Internal Audit Effectiveness	Annual Governance Statement Review Annual Investigations Report Annual Audit Opinion (2020/21) Annual Audit Plan and Strategy Internal Audit Effectiveness Audit Committee Effectiveness / Support Shareholder Cabinet Committee	Annual Governance Statement Review Annual Investigations Report Annual Audit Opinion (2019/20) Annual Audit Plan and Strategy Internal Audit Effectiveness Audit Committee Effectiveness
<b>Corporate Governance and Risk Management</b>		
Risk Management (Management Board and Strategic Review) Risk Management – Follow Up	Risk Management (Management Board and Strategic Review) Risk Management (Shared Service) Shareholder Cabinet Committee – Follow Up	Risk Management (Management Board and COVID-19 Risk Register) External Bodies Oversight – Shareholder Cabinet Committee
<b>Information Governance</b>		
Information Governance (Shared Service Strategic Board) Cyber Security - Follow Up PCI Compliance	Information Governance (Shared Service Strategic Board) Cyber Security Remote Working – Data Protection	Information Governance (Shared Service Strategic Board attendance) Adult Social Care – Website Data Quality

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<b>Anti- Fraud Culture</b>		
National Fraud Initiative Anti-Fraud Policies	National Fraud Initiative Anti-Fraud Policies	National Fraud Initiative Anti-Fraud Policies
<b>Financial Systems</b>		
Payroll Fraudulent Payment Claim NNDR Sundry Debtors - Follow Up	Procurement Card System Follow-up Energy Management Follow up Payroll and Pension Processes Sundry Debtors Sundry Debtors Follow up Waste Collection Refunds Mosaic Payment Controls	Financial Control Framework Business Model Working Group Home Services Delivery Payment BACS Bureau Energy Management - Follow up
<b>Contracts and Procurement</b>		
Drug and Alcohol Treatment MRF Contract (Fenland DC undertaking review)	Milestone Infrastructure Ltd Contract Approvals (previously Skanska) Integrated Community Equipment Service (CCC Lead)	

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<b>Projects and Programme Management</b>		
Towns Funds Project Ukraine Support Fund Spectrum Replacement Project Back Up Protocols Project	Payment Data Analysis Programme and Project Management Town Fund Deal Town Fund Assurance Certification Middleholme Project Disaster Recovery Project Digital Signatures Project	Aragon Transition Project – Follow up HR Management System
<b>External Organisations</b>		
	City Culture Peterborough External Bodies Oversight – Shareholder Cabinet Committee Newborough Parish Council	Norfolk Property Services – Follow up Mayors Charity – Follow Up Newborough Parish Council

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2022/23 (includes reviews in progress)	2021/22	2020/21
<b>COVID-19 – Grant Schemes or Process Change Reviews resulting from the pandemic</b>		
COVID-19 Business Support Grant Schemes Contain Outbreak Management Fund City College Arts Cultural Recovery Fund – Rounds 3 & 4 Test and Trace Support Payments	COVID-19 Business Support Grant Schemes Compliance and Enforcement Grant City College Arts Cultural Recovery Fund – Round 1 & 2	COVID-19 Corporate Process Changes COVID-19 Business Support Grant Schemes COVID-19 Winter Grant Scheme COVID Hub – Food Parcels COVID Infection Control Grant Test and Trace Isolation Payments Supplier Relief Payment Controls Procurement Card System
<b>Service Delivery</b>		
Business Continuity – Departmental Review Teachers Pensions – Premature Requirement Project Mobile Phone Contract EE Tech Fund Absence Management Follow up Energy Management Integrated Transport System	Business Continuity – Follow Up and Operational Risk Registers Teachers Pensions – Premature Retirement F/up Financial Resilience – Business Improvement Plan Working Group Safeguarding Clients Assets (shared Service)	Business Continuity – Strategy (PCC/CCC shared Service) Health and Safety follow-up Teachers Pensions – Premature Retirement Free School Meals

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<b>Service Delivery Cont:</b>		
Climate Change	Regional Adoption Agency (shared service) Absence Management Absence Management Follow up IT Asset Management Follow up Payments in Lieu of Notice ITSM System Approval Process	SEN Payments All Saints Church of England School
<b>Grants and Other Certification</b>		
Highways and Infrastructure Grants (4) Traffic Signals Maintenance CPCA Funded Schemes Protect and Vaccinate Grant PIRI Project Homelessness Prevention Grant Rough Sleeper Initiative Drug Treatment Universal Grant Scheme Mayors Charity Fund Supporting Families	Highways and Infrastructure Grants (5) Bus Service Operations Home to School Transport Additional Funding Disabled Facilities Connecting Families Mayors Charity Fund Emergency Active Travel Grant Hampton Hargate NCTL Grant Arts Council Covid Recovery Fund (3) COVID-19 Compliance and Enforcement	Highways and Infrastructure Grants (5) Bus Service Operations Taxi Infrastructure Disabled Facilities Grants Connecting Families Mayor's Charity Fund

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Dedicated Schools Grants Post 16 Young People Funding 2021-22 Education Capital Grants Hampton Hargate School NCTL		
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